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Commissioner for Patents
Washington, D.C. 20231
on August 13, 2001

Date of Deposit

Jasper W. Dockrey

Name of applicant, assignee or
Registered Representative

Jasper W. Dockrey
Signature
8/16/01

Date of Signature

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AUG 20 2001

OFFICE OF PETITIONS

Case No. 9281/3900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kiyoshi SATO et al

Serial No.: 09/802,314

Filed: March 8, 2001

For: THIN-FILM MAGNETIC
HEAD APPROPRIATELY
SUPPRESSING SIDE
FRINGING AND
METHOD FOR
FABRICATING THE
SAME

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated April 13, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
 - ☐ a small entity, verified statement is:
 - ☐ attached
 - ☐ already filed
 - ☒ other than small entity
- ☒ Checks totaling \$1,360.00 for:
 - ☒ Filing Fee of \$710.00.
 - ☒ Surcharge of \$130.00.
 - ☐ Additional Claim Fees of \$_____.
 - ☒ Petition for Two-Month Extension of Time \$390.00.
 - ☒ Petition \$130.00.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Petition Under 37 C.F.R. §1.47(a) with Exhibits A-D.

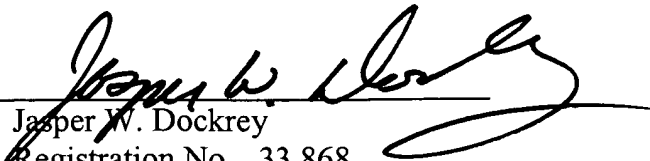


The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: _____

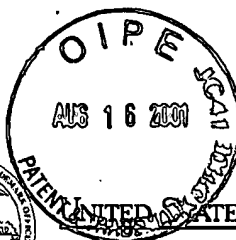
8/11/01


Jasper W. Dockrey
Registration No. 33,868
Attorney for Applicants

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rev. Dec.-99

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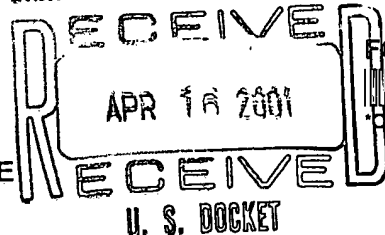
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/802,314	03/08/2001	Kiyoshi Sato	9281/3900

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455 NORTH CITYFRONT PLAZA DRIVE
CHICAGO, IL 60611

BRINKS HOFER GILSON & LIONE



CONFIRMATION NO. 5260

FORMALITIES LETTER



Date Mailed: 04/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 840.**

08/17/2001 CNGUYEN 00000089 09802314

03 FC:101
04 FC:105

710.00 OP
130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

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AUG 20 2001

OFFICE OF PETITIONS

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Section 11

TRANSMITTAL LETTER			Case No. 9281/3900
Serial No. 09/802,314	Filing Date March 8, 2001	Examiner Unassigned	Group Art Unit 2652
Inventor(s) Kiyoshi SATO et al			
Title of Invention THIN-FILMED MAGNETIC HEAD APPROPRIATELY SUPPRESSING SIDE FRINGING AND METHOD FOR FABRICATING THE SAME			

TO THE COMMISSIONER FOR PATENTS

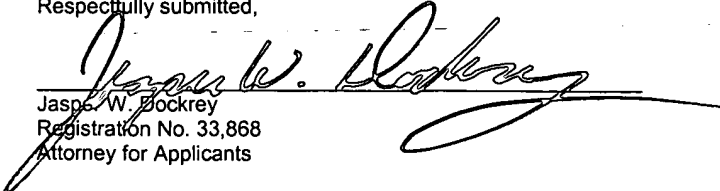
Transmitted herewith is a Transmittal (in dup.), Response to Notice to File Missing Parts of Application (in dup.), Copy of Notice to File Missing Parts, Petition for Extension of Time-2 month (in dup.), Declaration and Power of Attorney w/Attachment A (6 pages), Petition Under 37 C.F.R. § 1.47(a) (in dupl.) with Exhibits A-D, Assignment (3 pages), Assignment Recordation, Checks in the amount of \$840, \$390, \$130, and 40 for fees, and return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a two month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

				Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=		x \$18=	
Indep.		Minus			x 40=		x \$80=	
First Presentation of Multiple Dep. Claim					+\$135=		+\$270=	
					Total add'l fee	\$	Total add'l fee	\$

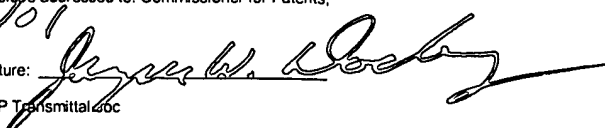
- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$____. A duplicate copy of this sheet is enclosed.
- ☒ Checks in the amount of \$840, \$390, \$130, and \$40 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


Jasper W. Dockrey
Registration No. 33,868
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

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Date: 8/11/01 Signature: 
rev. Dec.-00
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